

SOIL CLASSIFICATION AND PROPERTIES

To engineer an adequate earth retaining system for a trench or excavation it is first necessary to identify the material (soil) in which the excavation is to be made. The basic load upon an earth retaining system is caused by the resulting lateral pressure of the retained soil. Soils can be quite different. It is these differences that result in variations in the lateral earth loads or pressures.

In general, there are two types of soils; cohesionless soils (sand and gravel) and cohesive soils (clays). Silts, depending on plasticity, may or may not be considered cohesionless. Natural soils are usually between these two extremes. Unusual soil types such as organic peat and permafrost conditions are not addressed in this manual.

A soil classification defines what soil is comprised of (silty sand for example). Various classification systems have been established. The Department of Transportation prefers the use of the ASTM Unified Classification System. This system was initially developed by the U.S. Army Corps of Engineers and the U.S. Bureau of Reclamation in 1952. The classification system is discussed in detail in the California Department of Transportation Materials Manual Volume VI 1973. Geotechnical Engineers and Contractors will not always use the same classification system and word description. If enough of the soil properties are known, a soil can be described-or classified properly. in the Unified Soil Classification System.

Classification is only the first part of a soil description. There are various characteristics or properties that must be known in order to predict the effect of a soil on an earth retaining system. These are the Engineering Properties of the soil. Standard means of measuring and determining these properties have been developed.

Soils Investigation usually consists of obtaining representative soil samples, performing tests, and summarizing the data. Additional pertinent information such as ground-water conditions, recommendations for an equivalent fluids oil pressure (Kw) and shape of pressure distribution diagrams may also be included.

Soil shear strength is of primary concern in trenching and shoring work. One of the fundamental relationships governing soil shear strength was first recognized by Coulomb and can be expressed as follows:

 $s = c' + \sigma' tan \phi'$

 $= c' + (\sigma - u) \tan \phi'$

where

s = soil shear strenght

c' = effective cohesion intercept

 σ' = effective normal stress (stress causing soil particles to press together)

 ϕ' = effective angle of internal friction

 σ = total normal stress

u = pore water pressure

In general, this relationship between shear strength and normal stress is not linear for large stress ranges. Therefore the shear strength parameters c' and σ^4 should be defined for narrow stress ranges.

Depending on soil permeability and the degree of saturation, the presence of water will tend to prevent a soil from changing volume when it is loaded. Without volume change, there can be no change in effective normal stress and therefore no change in soil shear strength. When a soil is saturated, a change in loading will produce a change in pore water pressure which is in excess of thehydrostatic pore water pressure. Excess pore water pressure will not necessarily be positive, and may, depending on soil stress history and loading conditions, be negative.

If a soil has high permeability, such as a coarse sand, the excess pore water pressure will dissipate almost instantly and there will be an instantaneous change in shear strength.

If a soil has low permeability, a clay for example, then excess pore water pressure will dissipate very slowly and no change in shear strength will be observed for quite sometime. Because the strength of saturated impervious fine grained soils changes slowly with externally applied pressures, their strength can sometimes be expressed as:

s = s,,

where s, is the undrained shear strength.

These fine-grained types of soil, because their shear strength is initially indifferent to confining pressures, are often said to derive their strength through "cohesion" and are many times referred to as "cohesive soils."

In cohesive soils, excess pore pressure will reach zero over a period of time as the soil either consolidates or swells (depending respectively on whether the soil has been loaded or unloaded.) Trenching and shoring work often creates situations where soil loading is reduced - an excavation for example. A fine-grained soil in this situation will tend to expand and has the potential to lose shear strength over time.

Soil permeability, drainage and loading conditions, and degree of saturation greatly effect the pore pressures generated when soils are loaded, which in turn significantly affect Soil shear strength. Therefore, these factors require careful consideration when planning or evaluating a soil testing program to estimate shear strength parameters for use in analysis.

A few helpful TABLES and FIGURES are included in this section listing various soil properties and relationships.

An essential value for the determination of some of the soil relationships described in TABLE 7 is the specific gravity (G) of the soil. The specific gravity (G) of a soil may be satisfactorily estimated in accordance with the following:

Soil Type	_Specific Gravitv (G)
Sands and Gravels Inorganic Silt	2.65 - 2.68 2.62 - 2.68
Organic Clay	2.58 - 2.65
Inorganic Clay	2.68 - 2.75

VOLUME AND WEIGHT RELATIONSHIPS

Property (Was West (Was Was G		Unsaturated sample (W _s , W _w , G, V are known)	Supplementary form	ulas selating mes	aured and comp	uted factors		
	Ve solids Ve Gye		V = (Va + Vm)	V(1 - a)	<u>v</u> (1 + e)	V _v /e		
2	٧,,	volume of	W,	Jy=*	V _v - V _a	SV.	<u></u>	SV _s e
bouc	V.	yolume of	2010	$V - (V_0 + V_{w})$	V _v - V _w	(1 - S)V _v	$\frac{(1-S)Ve}{(1+e)}$	(1 - S)V _s e
8	V _	volume of	A-17-	V - W.	v - v.	<u>V_a</u>	Ve (1 4 4)	V _e e
Volume components	v	total vol- ume of sample	V. + V.,	measured	V. + V. + V.	V _a 1 - a	V _e (1 + e)	V _r (1 + e)
	•	perosity		/_/V	1 - V_/V	$1 - \frac{V_a}{GV \gamma_w}$	<u>e</u> 1+e	
	e	void ratio	•	,/V <u>,</u>	V/V _s - 1	<u>GV</u> Y₩ - 1	₩ _w G	- vG
or mple	₩,	weight of		esured	<u> </u>	GVy _w (1 - a)	<u> </u>	
Veights for seific samp	₩,,	weight of		esoured	₩,	Sγ _₩ V _Ψ	<u>e₩,S</u>	
Veights for specific sample	W _t	weight of	₩,	, + W_	W _e (1 + w)			
a	γ _D	dry unit	V • V	₩,/٧	<u> </u>	<u> </u>	Gy.	
e de	γ _T	Wet unit	<u>V_a + V_w</u> V → V	W. + W.,	W _T /V	(G + Se)v	(1+v)v	
Teights for sample of unit volume	YSAT	saturated unit	₩ _a + ₩ _w V _a + V _w	Ψ _s + V _ν γ _{νν}	$\Psi_a/V + \left(\frac{e}{1+e}\right)\gamma_w$	(G + e) yw (1 + e)	$\frac{(1+w)\gamma_w}{w+1/G}$	
Weights un	Ysus	submerged (buoyant) unit weight	YSA	τ - γω*	$\nabla_{\mathbf{e}}/\mathbf{v} = \left(\frac{1}{1+\mathbf{e}}\right)\gamma_{\mathbf{e}}$	$\left(\frac{G+e}{1+e}-1\right)\gamma_{w}^{a}$	$\left(\frac{1-1/G}{w+1/G}\right)\gamma_w$	
	~	moisture	٧	/ . /₩;	₩ _t /₩ _s - 1	Se G	s y	
Combined	s	satura-	1.00	V _{**} /V _*	__\V_\y_*	₩ <u>G</u>	χ <u>†</u>	
0 =	G	specific		N's	<u>Se</u>			
Notes.—y, is unit weight of water, which equals 62.4 pcf for fresh water and 64 pcf for sea water (1.00 and 1.025 gm/cc). (Where sorted with " the actual unit weight of water surrounding the soil is used.) In other cases use 62.4 pcf. Values of (w) and (s) are used as decimal numbers. POROSITY VOID RATIO VOLUME OF AIR OR GAS VOLUME OF VOIDS VOLUME OF WATER VOLUME OF WATER VOLUME OF SOLIDS VOLUME OF SOLIDS VOLUME OF SOLIDS VOLUME OF SOLIDS VEIGHT OF WATER VEIGHT OF WATER VEIGHT OF SOLIDS SOLIDS VEIGHT OF SOLIDS VEIGHT OF SOLIDS SOLIDS SOLIDS								
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TABLE 7

TABLE 8

SOIL PROPERTY NOMENCLATURE

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\gamma_{\text{dry}} = \text{Dry Unit Weight (pcf)}
\gamma_{\text{sat}} = Saturated Unit Weight (pcf)
\gamma_{\text{sub}} = Submerged Unit Weight (pcf)
      = Unit Weight of Water (pcf)
\gamma_{\mathbf{w}}
\gamma_{\text{sat}} = \gamma_{\text{sub}} + \gamma_{\text{w}}
      \approx 0.6 \gamma_{\rm drv} \approx \gamma_{\rm d} (1 - 1/G)
                                      [Assuming G = 2.65]
\gamma_{
m sub}
\gamma_{\text{sat}} \approx 0.6 \gamma_{\text{drv}} + \gamma_{\text{w}}
      = Unconfined compressive strength (psf)
      = Undrained Shear Strength = q_{11}/2 (psf) for the
         unconfined compression test.
      = Cohesion intercept: the component of soil
       shear strength which is independent of the
         force normal to the shear plane.
      = Angle of Internal Friction (degree): repre-
Φ
         sents the frictional component of soil shear
         strength, dependent on the forces pushing the
         particles together.
       = Angle of Repose (degrees)
          (The angle at which a soil will stand unsup-
         ported).
       = PLASTIC LIMIT: This is the moisture content
         at which the soil mass ceases to be plastic;
          it will become brittle or crumbly without any
         further reduction in moisture content.
       = LIQUID LIMIT: The water content at which the
         soil has such a small shear strength that it
          flows to close a grove of standard width when
          jarred in a specified manner.
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TABLE 9

Test Borings permit classification and consistency determinations of underlying soils. Soils usually change at various levels or depths. Sometimes it is necessary to identify soils below the bottom of the proposed excavation. Soils samples for testing may be extracted and the presence and the elevation of ground water determined.

The Standard Penetration Test (SPT) is a means of retrieving, from the bottom of a bore hole, a disturbed sample of soil for visual classification or index testing. The number of hammer blows used to

drive the sampler provides an indication of thedensity of a granular soil or the consistency of a fine-grained soil. Empirical relationships can then be used which estimated soil friction angle (ϕ) from density of granular soils and unconfined compressive strength (q_0) from consistency of fine-grained soils.

Standard test procedures have been developed to obtain the properties from field samples. Some of these tests will be performed in the field and the remainder in a soils laboratory. For detailed information on soils investigation procedures and tests, see the Department of Transportation Materials Manual Volume VI, 1973.

There are several sources of soils information usually available to the Contractor and the Engineer. When structures are included in a contract there will be a report prepared by the Transportation Materials and Research Laboratory Engineering Geology Branch. The "Log of Test Borings", which is included as part of the contract plans is from this report. The soil is classified and its density or hardness at various elevations is determined. Moisture content and ground water conditions may also be given. If the borings are within reasonable distance of the proposed trench work they may serve as a guide to review or confirmthe soils data submitted with the shoring or excavation plans. A sample of a Log of Test Borings is shown in FIGURE 5.

Soils investigations may also be made by District Materials and Headquarters Laboratories. Numerous properties can be developed beyond those normally shown on the Log of Test Borings. This additional soils information is a part of the project materials -report. An example portion of a reporting form is shown in FIGURE 6.

Material reports are available on request. The Contractor should be informed of the availability of soils information at the pre-job conference. Observation of adjacent work in similar material by both the engineer and the Contractor during such operations as pile driving and excavations will often supply useful soils information.

The Contractor may elect, or find it necessary, to have a soils investigation performed. In this case the soils information or report will be furnished to the Engineer as a part of the supporting data accompanying the shoring plans.

It is recommended that exploratory borings be made and soil properties determined for unusual conditions such as a very deep excavation, work adjacent to buildings, and known areas of potentially unstable

ground. This is especially critical when ground water level is close to the surface. Materials that require special consideration include existing and former tidal flats, estuaries, marshes, alluvial flats, andground reclaimed by fill.

Soil test results need to be used with caution. Soil test reports from many soils laboratories or similar sources will include safety factors incorporated in the reported results. Other soil test data may not include safety factor considerations. The soil properties shown in FIGURE 6 for example, are direct result values which do not contain appropriate factors of safety.

Factors which the engineer will consider when assigning strength parameters to a soil include:

- the method with which soil shear strengthwas determined.
- the variability of subsurface-profile.
- the number and distribution of shear strength tests.

Of these factors only the first can be addressed here, the others must be dealt with on a site specific basis.

Many ways of evaluating soil shear strength have been developed. Not all methods are equally precise, therefore one needs to consider the source of the shear strength data when making an evaluation of a proposed trenching or shoring system. The following is a guide for judging the reliability of soil shear strength parameters:

Test Method	Course-grained soil	Fine-grained soil	
Triaxial compression test	very good*	very good	
Unconfined compression test	mot applicable	very good	
Direct shear test	good*	fair	
Vane shear test	not applicable	good	
Cone penetration test (CPT)	fair	fair	
Pocket penetrometer	not applicable	fair	
Standard penetration test (SPT)	fair	poor	

^{*}Recovery of undisturbed samples can be difficult.

Additional information concerning soils testing may be found in Appendix B.

Unstable ground conditions may also be encountered in areas under-

lain by soils developed in-situ from weathering of rock and in deeply weathered and sheared rock. Adversely oriented bedding, fracturing and jointing planes of shear zones which are inclined towards the excavation should be investigated.

Instability of excavation walls can also occur in certain geologic formations such as clays and shales that are subject to cracking and spalling upon exposure to the atmosphere and to swelling when saturated with water. Excavating in such materials requires protection of the excavation walls to help retain natural moisture content and thus prevent cracking, spalling, and eventual wall instability. If cracking does occur, water should be prevented from seeping into the cracks.

A term that comes up frequently in trenching work is "running ground." It is referred to in the Construction Safety Orders and is criteria for more restrictive requirements for a shoring system. A running ground is defined as a soil that cannot stand by itself even for a short term, and is the dynamic state of actual failure or cave-in. Running soil will have little shear strength and will flow with virtually no angle of response in an unsupported condition. A mud under pressure which flows is an example of running ground. For running ground conditions, the full dry weight or the saturated unit weight of the material has to be resisted. The angle of internal friction (ϕ) and the cohesive value, are both zero. The shoring system wall in contact with the material must be solid. Running soil is the most adverse soil conditions that can be encountered. The soils investigation should state if a soil is known to be running.

Quick sand is a type of running soil. It occurs in cohesionless soil when the force of the upward flow of water is sufficient to make the soil bouyant and there by prevent grain interlocking. The soil grains are suspended in the water. A quick condition can be developed by adverse water flow. It may best be stabilized when the trench is dewatered. Ouick conditions can occur in silt as well as in sand.

LEGEND OF EARTH MATERIALS		GRAVEL CONTENTS		SILT RY FILL MATERAL CLAY SHOWEOUS ROCK		SANOY SALT OF METALOSPHIC SILTY SANO		NOTE: Clessification of earth material as shown on this sheet is based upon field hapection and is not to be construed to imply mechanical analysis unless anadations and United Soil Classification are shown.	
FICATION				Cohesive	Very soft Soft	Very stiff Hard	Very hand 64	Clessification of earth material as shown on this sheet is bat faid hapection and is not to be construed to imply mechanis unless gradations and United Soil Classification are shown.	
CONSISTENCY CLASSIFICATION	FOR SOLS	•	According to the Standard Penetration Test	Granular	Very losse Loose	Signify compact Compact Dense	Very dense	E: Clessification field inspection unless gradel	1
COMSIS		.1	According	Penetration Index (Blows / Ft)		20-19 20-34 35-69	6	NO.	
Typical Names	inciganic sills and very line sands.	or clayey sills with slight plasticity	Inorganic clays of low to medium plasticity, gravelly clays, sandy	Organic silts and organic suity clays of low plasticity	Inorganic sitts, micaceous or distanaceous fine sandy or sitty beits, etastic sitts	Inerganic clays of high plassicity. Lat clays	Organic clays of medium to high plasticity, organic sitts	Pest and other Mighly engants solls	IED SOIL CLASSIFICATION SYSTEM
Graup Symbols	¥		ಕ	ಕ	ī	5	8	ε	SOIL C
Major Orvisions	(Le	Sil Juid		lays s than 501	Si (Laquid I	ils and C		Highly Organic Soils	
ءُ		_		Fu	benrerg-a	201/3			
Typical Rames	Well-graded gravels, gravel-sand mintures, filter or no fines		Poolly goded gravels, gravel- sand mistures, little or no fines	Silty wavels, gravel-sand-sitt mixtures	Clayer gravels, gravel-sand-clay mintures	Well-graded sands, gravelly sands. little or no lings	Poolly goded sonds. govefly sonds, little or no fines	Sity Sards, sand-silt mixtures	Clayey sands, sand-clay alatimes
Group Symbols	3		8	3	ខ្ល	ž	5.	3.	×
Major Divisions	(L		pravels Or no S1	Gravets w (Appreciat of fi	le amount	Clean (Little fire	M M0	Sands wi (Appreciat of fi	le amount
ğ	Gravets Sands								
	Coarse-grained Soils								

Blows per foot may be converted to approximate ϕ angle or approximate cohesion (C)

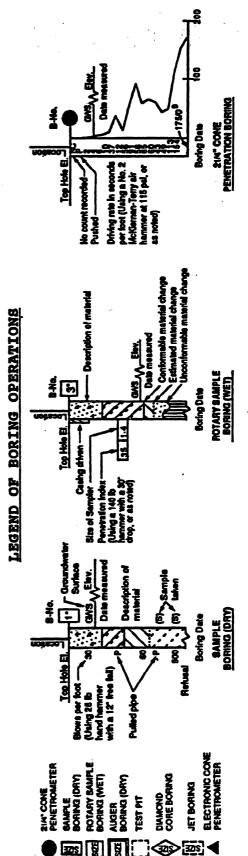


FIGURE 5

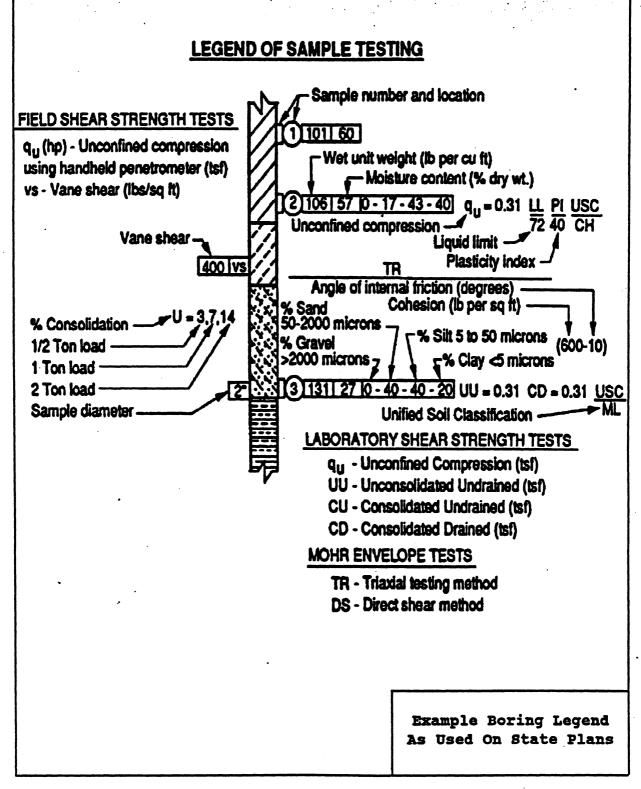


FIGURE 6

UNIFIED SOIL CLASSIFICATION SYSTEM

<u>Inches</u>	U.S.Standard Sieve No.	Particle <u>Size</u>
Over 8" 8" to 3" 3" to 3/4" 3/4" to		BOULDER COBBLE GRAVEL Coarse
		SAND Coarse
~~~~~~~~	10 TO 40	SAND Medium
	40 TO 200	SAND Fine
	Under 200	SILT or CLAY

1 micron = 0.001 mm

### TABLE 10

USEFUL CONVERSIONS	
Pa = Pascal = N/m ²	$1 \text{ Ft}^3 = 0.028 \text{ m}^3$
N= Newton	$1 \text{ Ft}^2 = 0.093 \text{ m}^2$
$1 \text{ ksf} = 47.88 \text{ kPa} = 47.88 \text{ kN/m}^2$	1 in = 0.025 m
1 Lb/Ft ² = 0.048 $kN/m^2$ = 47.9 $N/m^2$	$1 in^2 = 645.16 mm^2$
$1 \text{ Lb/in}^2 = 6.89 \text{ kN/m}^2$	1 kip = 4.448 kN
$1 \text{ Ton/Ft}^2 = 2 \text{ ksf} = 95.76 \text{ kPa}$	1  kg = 9.807  N

The Transportation Materials and Research Laboratory Engineering Geology Branch has prepared a summary of "simplified typical soil values" For average trench conditions the Engineer will find the data very useful to establish basic properties or evaluate data presented by the Contractor. The following table lists approximate values.

SIMPLIFIED TYPICAL SOIL VALUES

Classification	$\phi$ Friction Angle of the Soil	Density or Consistency	γ Soil Unit Weight (pcf)	K _a	Kw=K _a y Equiv. Fluid Wt. (pcf)
Gravel, Gravel-	41	Dense	130	.21	27
Sand Mixture,	34	Compact	120	.28	34
Coarse sand	29	Loose	90	.35	32
Medium Sand	36	Dense	117	.26	30
	31	Compact	110	.32	35
	27	Loose	90	.38	34
Fine Sand	31	Dense	117	.32	37
	27	Compact	100	.38	38
	25	Loose	85	.41	34
Fine Sand Silty Sandy Silt	, 29 27 25	Dense Compact Loose	117 100 85	.35 .38 .41	41 38 34
Silt	27	Dense	120	.38	45
	25	Compact	110	.41	45
	23	Loose	85	.44	37

TABLE 11

For active pressure conditions use a unit weight value of  $\gamma$  = 115 psf minimum when insufficient soils data is known.

A rough correlation between the standard penetration index value (N) and the angle of internal friction  $(\phi)$  in a granular can be made as shown by TABLE 12. Also, the penetration index can be related to the cohesive value (C) in a cohesive soil as shown in TABLE 13. The standard penetration index is converted to  $q_u$  (unconfinedcompressive strength) which in turn is equated to "C" by the formula,  $C = q_u/2$ .

Please note that these conversion tables are approximate. They can be used by characterizing the soil as being either predominately granular or cohesive. If possible, the conversion of the penetration index (N Value) should be checked by performing laboratory or in-site tests.

# GRANULAR SOILS

COMPACTNESS	VERY LOOSE	LOOSE I	MEDIUM DEI	VERY NSE <u>DENSE</u>
Relative Density, D _d	15%	.35%	65%	85%
Standard Penetration Resistance, N = Blows/ft*	4	10	30	50
Angle of Internal Friction, $\phi$	28	30	36	41
Unit Weight (PCF) Moist Submerged	100 60	95–125 : 55–65		 -140 130+ -85 75+

VERY LOOSE: A reinforcing rod can be pushed into soil several feet. DENSE: Difficult to drive a 2x4 stake with a sledge hammer.

* N = Blows/Ft as measured by the standard penetration test (See Appendix B).

Relative Density, 
$$D_d = \frac{e_{max} - e}{e_{max} - e_{min}} \times 100$$

e = existing void ratio of mass being considered.

emax = void ratio of same mass in its loosest state.

e_{min} = void ratio of same mass in its most compact state.

TABLE 12

# COHESIVE SOILS

	ery oft so	FT MED	OIUM ST		RY HA	RD
$q_u = unconfined comp.$ strength (PSF)	500	1000	2000	4000	8000	
Standard Penetration Resistance, N = Blows/Ft	2	4	8	16	32	
Unit Weight (PCF) Saturated	100-120	110	 -130	120-140	13	0+

VERY SOFT: Exudes from between fingers when squeezed in hand.

SOFT: Molded by light finger pressure.
MEDIUM: Molded by strong finger pressure.

STIFF: Indent by thumb.

VERY STIFF: Indent by thumb nail.

HARD: Difficult to indent by thumb nail.

* N = Blows/Ft as measured by the standard penetration test (See Appendix B).

To be used only as a rough guide.

TABLE 13